

How People Learn & Understanding by Design

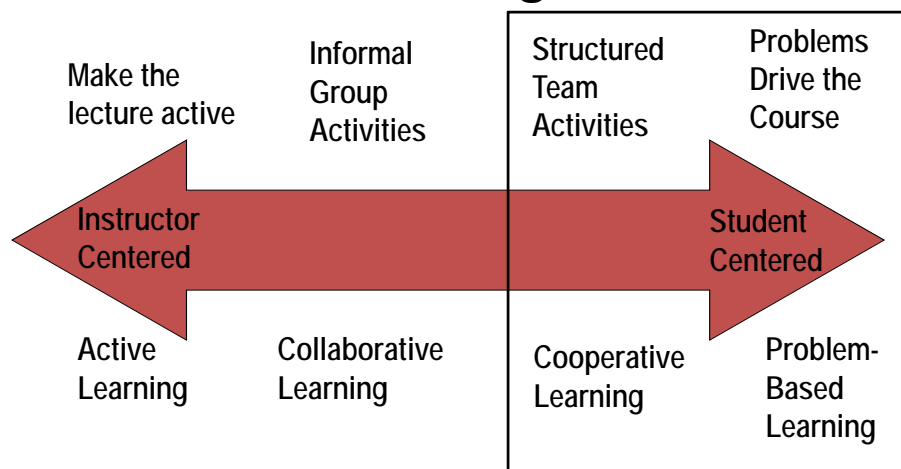
Karl A. Smith

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Civil Engineering - University of Minnesota
ksmith@umn.edu - <http://www.ce.umn.edu/~smith/>

**National Academy of Engineering
Frontiers of Engineering Education
December 2010**

[Additional slides to annotate presentation noted by *]

The Active Learning Continuum



Prince, M. (2010). NAE FOEE

*My work is situated here – Cooperative Learning & Challenge-Based Learning

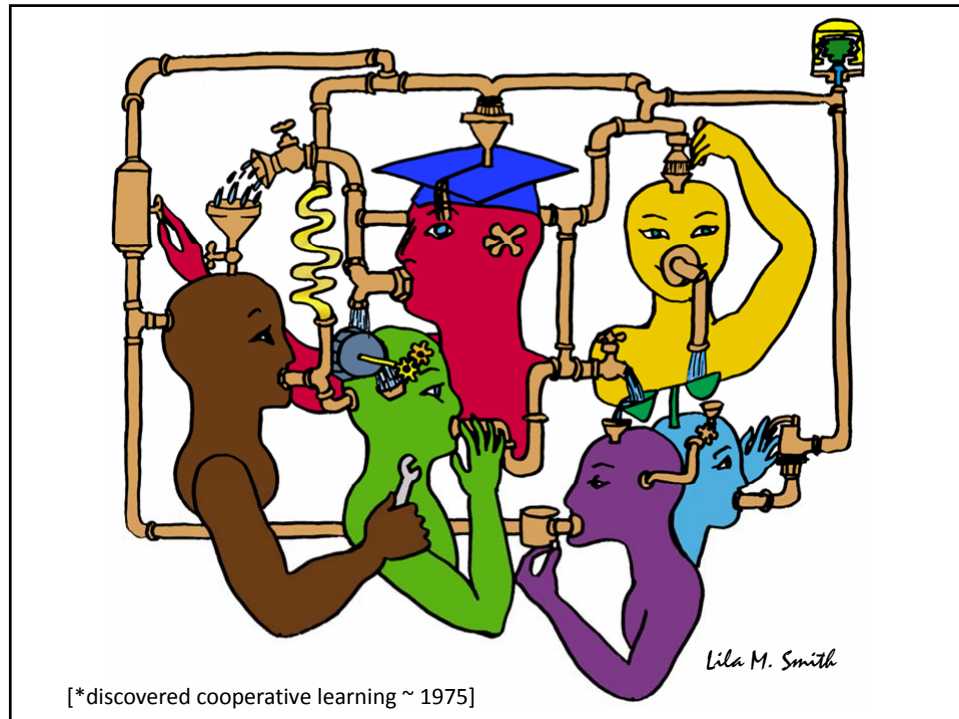
*My Engineering Education Innovation Story

- 1972 – Materials Processing Research – University of Minnesota
- 1974 – First undergraduate teaching experience – “pour it in” model
- 1974-8 coursework in College of Education – Discovered cooperative learning (CL) about 1974 – Interdependence & Accountability resonated
- 1975 – Implemented CL in my classes
- 1981 – Went public with CL – JEE paper and FIE conference presentation
- 1980s – Continued refining CL in my classes, telling others & co-developed and co-taught Into Eng course – Building Models to Solve Engineering Problems based on CL
- 1987-8 – co-wrote *How to Model It* book [McGraw-Hill, 1990]
- 1990-1 – Sabbatical – wrote first draft of *Active Learning: Cooperation in the College Classroom* [David & Roger Johnson refined and 1st edition published in 1991]
- 1991 – Materials Processing Research lab closed as did undergrad & grad programs
- 1992 – present – continued to refine CL model in engineering and spread word
- 1998-2004 – Michigan State University - Senior Consultant to Provost for Faculty Development [part time appointment] – worked with faculty and grad students
- 1998-9 – Sabbatical – wrote *Strategies for Engaging Students in Large Classes* [Wiley, 2000] & *Project Management and Teamwork* [McGraw-Hill, 2000]
- 2006 – Began phased retirement from University of Minnesota
- 2006 – present – Purdue School of Engineering Education PhD program
- 2010 – National Academy of Engineering Frontiers of Engineering Education Symposium



[*only model I knew when I started teaching]

Lila M. Smith



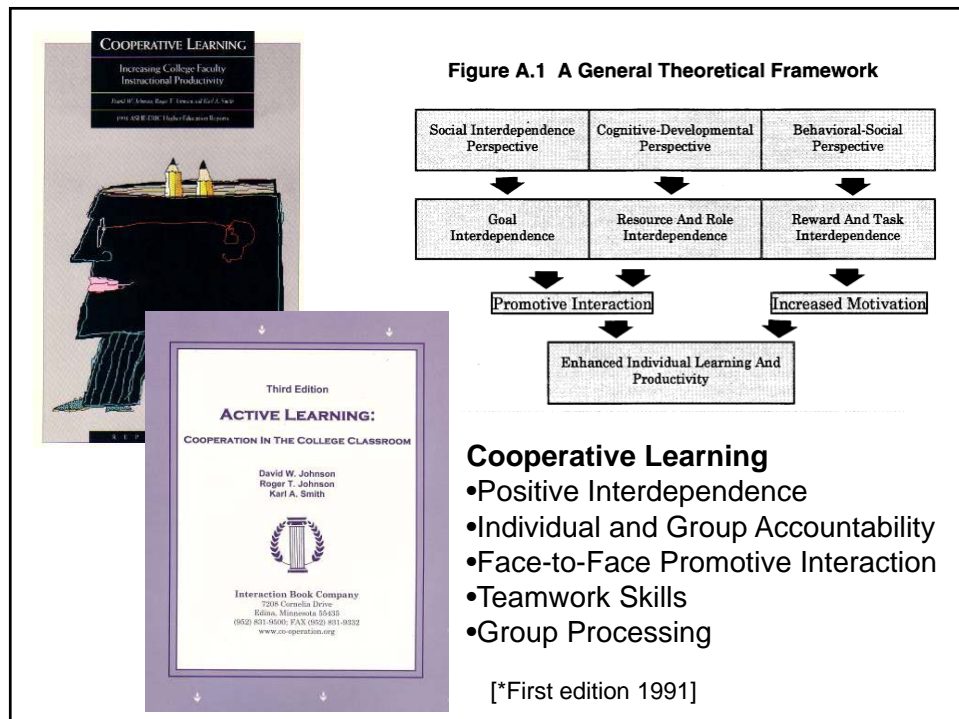
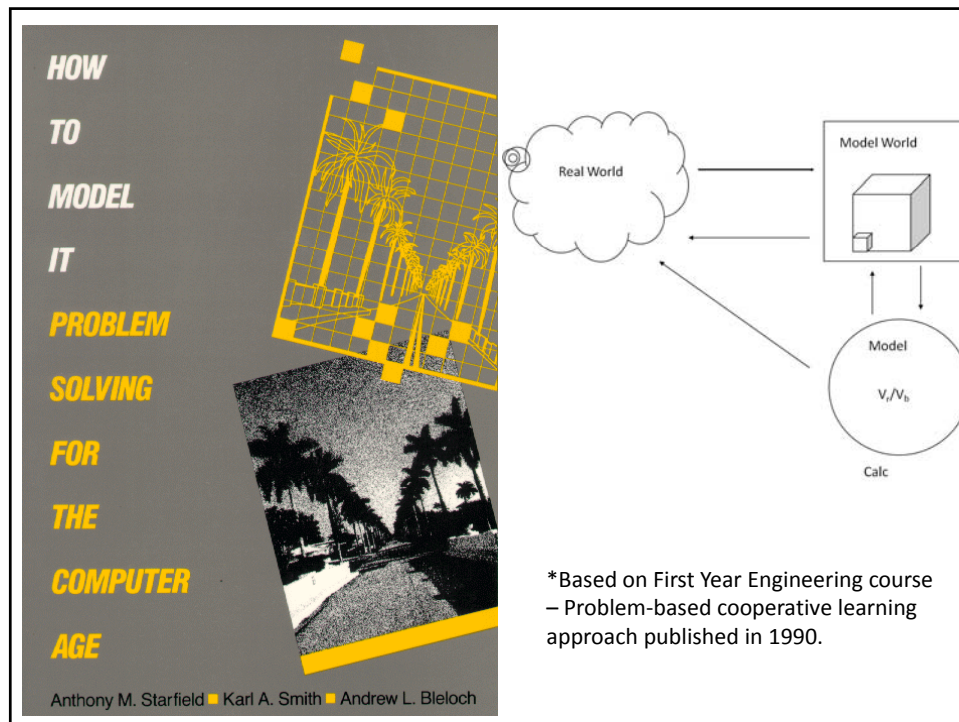
***Cooperative Learning** is instruction that involves people working in teams to accomplish a common goal, under conditions that involve both *positive interdependence* (all members must cooperate to complete the task) and *individual and group accountability* (each member is accountable for the complete final outcome).

Key Concepts

- Positive Interdependence
- Individual and Group Accountability
- Face-to-Face Promotive Interaction
- Teamwork Skills
- Group Processing

<http://www.ce.umn.edu/~smith/docs/Smith-CL%20Handout%2008.pdf>

Cooperative Learning	
Positive Interdependence	Individual Accountability
<ul style="list-style-type: none"> • All members share resources • All members share success • All members share responsibility • All members share resources • All members share success • All members share responsibility 	<ul style="list-style-type: none"> • Each member is accountable for their own learning • Each member is accountable for their own contribution • Each member is accountable for their own behavior • Each member is accountable for their own learning • Each member is accountable for their own contribution • Each member is accountable for their own behavior
Face-to-Face Interaction	
<ul style="list-style-type: none"> • Face-to-face interaction • Face-to-face interaction • Face-to-face interaction • Face-to-face interaction • Face-to-face interaction • Face-to-face interaction 	



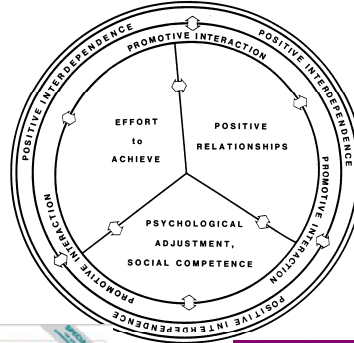
Cooperative Learning Research Support

Johnson, D.W., Johnson, R.T., & Smith, K.A. 1998. Cooperative learning returns to college: What evidence is there that it works? *Change*, 30 (4), 26-35.

- Over 300 Experimental Studies
- First study conducted in 1924
- High Generalizability
- Multiple Outcomes

Outcomes

1. Achievement and retention
2. Critical thinking and higher-level reasoning
3. Differentiated views of others
4. Accurate understanding of others' perspectives
5. Liking for classmates and teacher
6. Liking for subject areas
7. Teamwork skills



January 2005



March 2007

Active and Cooperative Learning

EDUCATION

Farewell, Lecture?

Eric Mazur

Discussions of education are generally predicated on the assumption that we know what education is. I hope to convince you otherwise by recounting some of my own experiences. When I started teaching introductory physics to undergraduates at Harvard University, I never asked myself how I would educate my students. I did what my teachers had done—I lectured. I thought that was how one learns. Look around anywhere in the world and you'll find lecture halls filled with students and, at the front, an instructor. This approach to education has not changed since before the Renaissance and the birth of scientific inquiry. Early in my career I received the first hints that something was wrong with teaching in this manner, but I had ignored it. Sometimes it's hard to face reality.

When I started teaching, I prepared lecture notes and then taught from them. Because my lectures deviated from the textbook, I provided students with copies of these lecture notes. The inflicting result was that on my end-of-semester evaluations—which were quite good otherwise—a number of students complained that I was “lecturing straight from (his) lecture notes.” What was I supposed to do? Develop a set of lecture notes different



Click here. Students continually discuss concepts among themselves and with the instructor during class. Discussions are spurred by multiple-choice conceptual questions that students answer using a clicker device. See supporting online text for examples of such “clicker questions.”

from the ones I handed out? I decided to ignore the students' complaints.

A few years later, I discovered that the students were right. My lecturing was ineffective, despite the high evaluations. Early on in the physics curriculum—in week 2 of a typical introductory physics course—the Laws of Newton are presented. Every student in such a course can recite Newton's third law of

A physics professor describes his evolution from lecturing to dynamically engaging students during class and improving how they learn.

motion, which states that the force of object A on object B in an interaction between two objects is equal in magnitude to the force of B on A—it sometimes is known as “action is reaction.” One day, when the course had progressed to more complicated material, I decided to test my students' understanding of this concept not by doing traditional problems, but by asking them a set of basic conceptual questions (1, 2). One of the questions, for example, requires students to compare the forces that a heavy truck and a light car exert on one another when they collide. I expected that the students would have no trouble tackling such questions, but much to my surprise, barely a minute after the test began, one student asked, “How should I answer these questions? According to what you taught me or according to the way I usually think about these things?” To my dismay, students had great difficulty with the conceptual questions. That was when it began to dawn on me that something was amiss.

In hindsight, the reason for my students' poor performance is simple. The traditional approach to teaching reduces education to a transfer of information. Before the industrial revolution, when books were not yet mass commodities, the lecture method was the only way to transfer information from one generation to the next. However, education is so

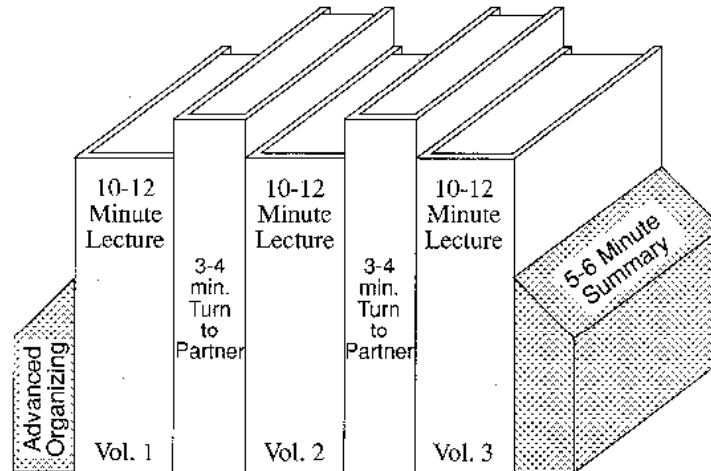
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2 JANUARY 2009 VOL 323 SCIENCE www.sciencemag.org

January 2, 2009—Science, Vol. 323—www.sciencemag.org

Calls for evidence-based promising practices

Book Ends on a Class Session



Thinking Together: Collaborative Learning in the Sciences – Harvard University – Derek Bok Center – www.fas.harvard.edu/~bok_cen/

More Professors Give Out Hand-Held Devices to Monitor Students and Engage Them



Student answers are projected on a screen during Professor Bill White's class on organizational behavior at Northwestern University in Evanston, Ill.

By JACQUES STEINBERG
Published: November 15, 2010

EVANSTON, Ill. — If any of the 70 undergraduates in Prof. Bill White's "Organizational Behavior" course here at Northwestern University are late for class, or not paying attention, he will know without having to scan the lecture hall.

Their "clickers" will tell him.

Every student in Mr. White's class has been assigned a palm-size, wireless device that looks like a TV remote but has a far less entertaining purpose. With their clickers in hand, the students in Mr. White's class automatically clock in as "present" as they walk into class.



They then use the numbered buttons on the device to answer multiple-choice quizzes that count for nearly 20 percent of their grade, and that always begin precisely one minute into class. Later, with a click, they can signal to their teacher without raising a hand that they are confused by the day's lesson.

But the greatest impact of such devices — which more than a half-million students are using this fall on several thousand college campuses — may be cultural: they have

How Clickers Work

By **JACQUES STEINBERG**


Published: November 15, 2010 At

[Northwestern University](http://www.nytimes.com) and on hundreds of other campuses, professors are arming students with hand-held clickers that look like a TV remote cross-bred with a calculator. Here is how they work:

1. Each clicker has a unique frequency that is assigned to a particular student.
2. Using a numbered keypad, students signal their responses to multiple-choice questions, which are tabulated wirelessly by the professor's computer.
3. Polling software then collates the data and gives the professor the ability to create various graphs and reports instantly as well as to store the data for grading and other purposes.

<http://www.nytimes.com/2010/11/16/education/16clickers.html?ref=education>

November 15, 2010 – NY Times



UNIVERSITY OF MINNESOTA
Driven to Discover™

- Next Placement
- Multimedia
- Features
- Expert Alerts
- Today's News
- Media Contacts
- Media Resources

UMNews

Home • News Releases • U of M dedicates new Science Teaching and Student Services Building

News Release

U of M dedicates new Science Teaching and Student Services building

Riding to campus on new bus for student life, including technology-rich classrooms of the Great Hall and One Union Student Services.

Contact: David Walker, University News Service, walker@umn.edu, 612-625-6868

ANNAPOLIS / PT. PAUL, MD/CA - CTSD - University of Minnesota students and faculty will dedicate the new Science Teaching and Student Services (STSS) building located at the gateway to the university's East Bank campus in Minneapolis.


The 115,000-square-foot STSS, which replaces the demolished Science Classroom Building, will be home not only to new state-of-the-art "active learning" classrooms but also to numerous student services offices, including One Stop Student Services, advising services and career services.

"This really is the future of education at our Twin Cities campus," said university President Robert Berenson. "We're grateful to the people of Minnesota for making this investment in their University."

The building, which was funded in large part by state bonding funds, has five stories and offers a wide array of the first built and designed Minnesota One Stop Student Services. It has 30 active learning classrooms, which provide for technology-driven and collaborative interaction among students and faculty. There are also two multipurpose classrooms and two larger lecture halls.

"Active learning classrooms are the classrooms of the future and have proven results in improving educational achievement for students," said university Provost Thomas Sullivan. "There is a critical need for more degrees in science, technology, engineering and mathematics fields to meet expected job growth. This new facility supports our efforts to educate the scientists and engineers who make the discoveries of tomorrow."

In addition, the STSS is designed to meet or exceed the requirements of Minnesota's stringent LEED sustainable design code and uses LEED Gold certification. Sustainable



Multimedia

- STSS overview: See all the great features of this new building
- Go inside an Active Learning classroom
- Minnesota Wins checks in on student services in STSS

Related Links

- Plan to STSS event
- Further information about STSS (PDF)

http://www1.umn.edu/news/news-releases/2010/UR_CONTENT_248261.html

<http://mediamill.cla.umn.edu/mediamill/embed/78755>

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PROBLEM-BASED LEARNING

[UD PBL articles and books](#)

[UD PBL in the news](#)

[Sample PBL problems](#)

[UD PBL courses and syllabi](#)

[PBL Clearinghouse](#)

[PBL Conferences and
Other PBL sites](#)

[Institute for Transforming
Undergraduate Education](#)

[Other related UD sites](#)

"How can I get my students to think?" is a question asked by many faculty, regardless of their disciplines. Problem-based learning (PBL) is an instructional method that challenges students to "learn to learn," working cooperatively in groups to seek solutions to real world problems. These problems are used to engage students' curiosity and initiate learning the subject matter. PBL prepares students to think critically and analytically, and to find and use appropriate learning resources. -- [Barbara Duch](#)



**PBL2002:
A Pathway to Better Learning**



**Recipient of 1999 Hesburgh
Certificate of Excellence**



Please direct comments, suggestions, or requests to ud-pbl@udel.edu.
"http://www.udel.edu/pbl/"
Last updated March 13, 2004.
© Univ. of Delaware, 1999.

<http://www.udel.edu/pbl/>

*Cooperative Learning Adopted

The American College Teacher:

National Norms for 2007-2008

Methods Used in "All" or "Most"	All – 2005	All – 2008	Assistant - 2008
Cooperative Learning	48	59	66
Group Projects	33	36	61
Grading on a curve	19	17	14
Term/research papers	35	44	47

<http://www.heri.ucla.edu/index.php>

*Innovation Stories

- Stories supported by evidence are essential for adoption of new practices
 - Good ideas and/or insightful connections
 - Supported by evidence
 - Spread the word
 - Patience and persistence
- Cooperative learning took over 25 years to become widely practiced in higher education as shown in previous slide
- **We can't wait 25 years for YOUR innovations to become widely practiced!**

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THE INNOVATOR'S WAY
Essential Practices for Successful Innovation
PETER J. DENNING &
ROBERT P. DUNHAM



Engines *of* Innovation
THE ENTREPRENEURIAL
UNIVERSITY
IN THE
TWENTY-FIRST
CENTURY
Holden Thorp &
Buck Goldstein



STEVEN
JOHNSON
WHERE GOOD IDEAS
COME FROM
THE NATURAL
HISTORY OF
INNOVATION
FROM THE BESTSELLING
AUTHOR OF EVERYBODY
HAS LEADERSHIP TALENT
AND THE MATHS
OF JOE

Innovation is the adoption of a new practice in a community

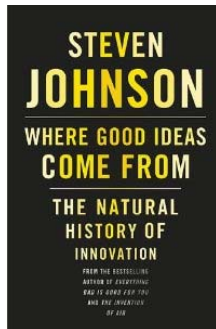


WHAT
TECHNOLOGY
WANTS
KEVIN KELLY

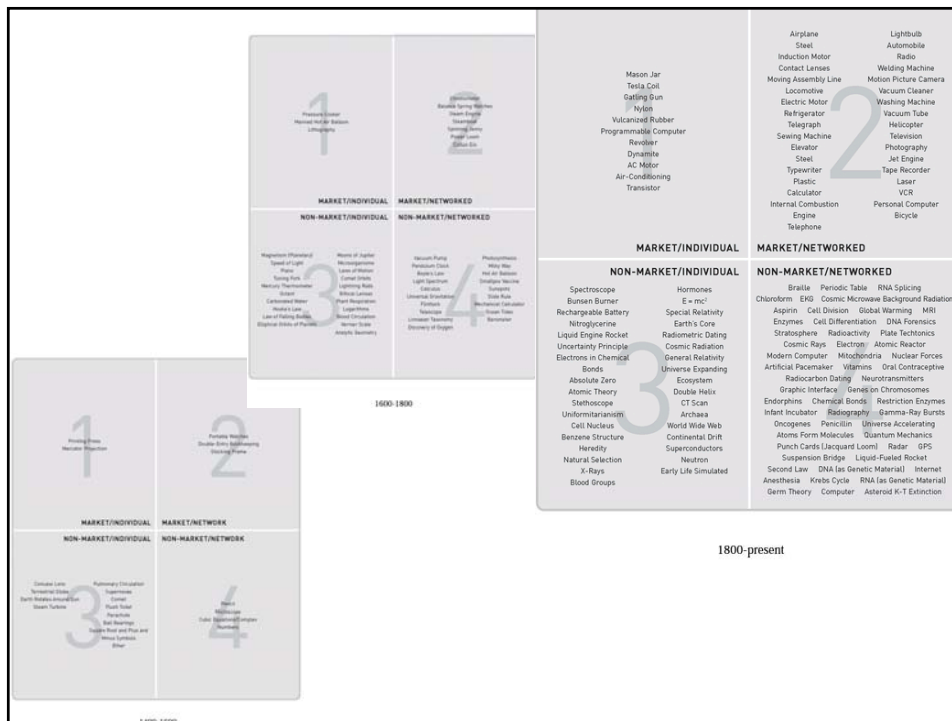


The Nature of
Technology
WHAT IT IS
AND HOW
IT EVOLVES
W. Brian Arthur

***We must focus on process of innovation**



1. What is the distribution of innovations?
2. Did it change over time? If so, how?
3. Where does **your** innovation fit?



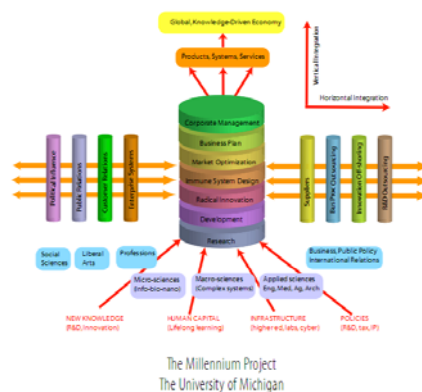
It could well be that faculty members of the twenty-first century college or university will find it necessary to set aside their roles as teachers and instead become designers of learning experiences, processes, and environments.

James Duderstadt, 1999 [Nuclear Engineering Professor; Dean, Provost and President of the University of Michigan]



Engineering for a Changing World

A Roadmap to the Future of
Engineering Practice, Research, and Education



...objectives for engineering practice, research, and education:

To adopt a systemic, research-based approach to innovation and continuous improvement of engineering education, recognizing the importance of diverse approaches—albeit characterized by quality and rigor—to serve the highly diverse technology needs of our society

<http://milproj.ummu.umich.edu/publications/EngFlex%20report/download/EngFlex%20Report.pdf>

Background Knowledge Survey

- Familiarity with
 - **Course Design Models**
 - Wiggins & McTighe – Understanding by Design (Backward Design)
 - Fink – Creating Significant Learning Experiences
 - Felder & Brent – Effective Course Design
 - **Research on Learning**
 - Models of Learning (Mayer, 2010)
 - Learning as response strengthening
 - Learning as information acquisition
 - Learning as knowledge construction
 - *How People Learn*
 - Student Engagement
 - National Survey of Student Engagement (NSSE)
 - CAEE APS APPLES (academic pathways of people learning engineering survey)
 - Cooperative learning

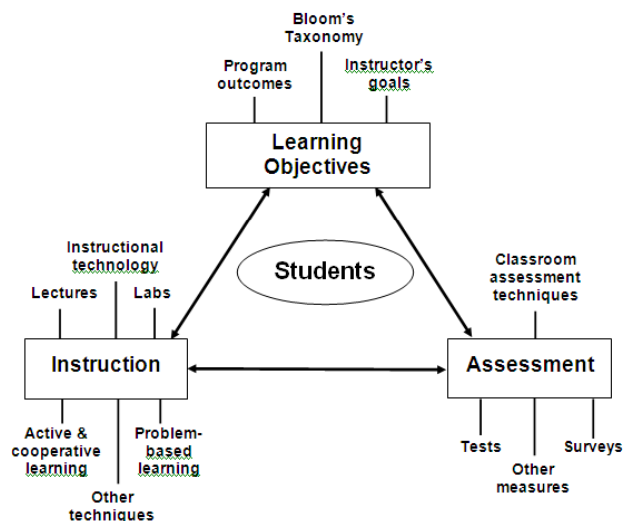


Figure 1. Elements of Course Design*

*R.M. Felder and R. Brent. (2003). Designing and Teaching Courses to Satisfy the ABET Engineering Criteria. *J. Engr. Education*, 92(1), 7–25.

Research can be inspired by ...



**Understanding
(Basic)**

Yes

No

Use (Applied)

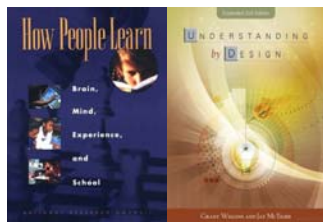
No

Yes

Pure basic research (Bohr)	Use-inspired basic research (Pasteur)
	Pure applied research (Edison)

Source: Stokes, D. 1997. *Pasteur's quadrant: Basic science and technological innovation*. Washington, DC: Brookings Institution.

Instructional Innovation can be based on..



**Science of
Learning
(HPL)**

Yes

No

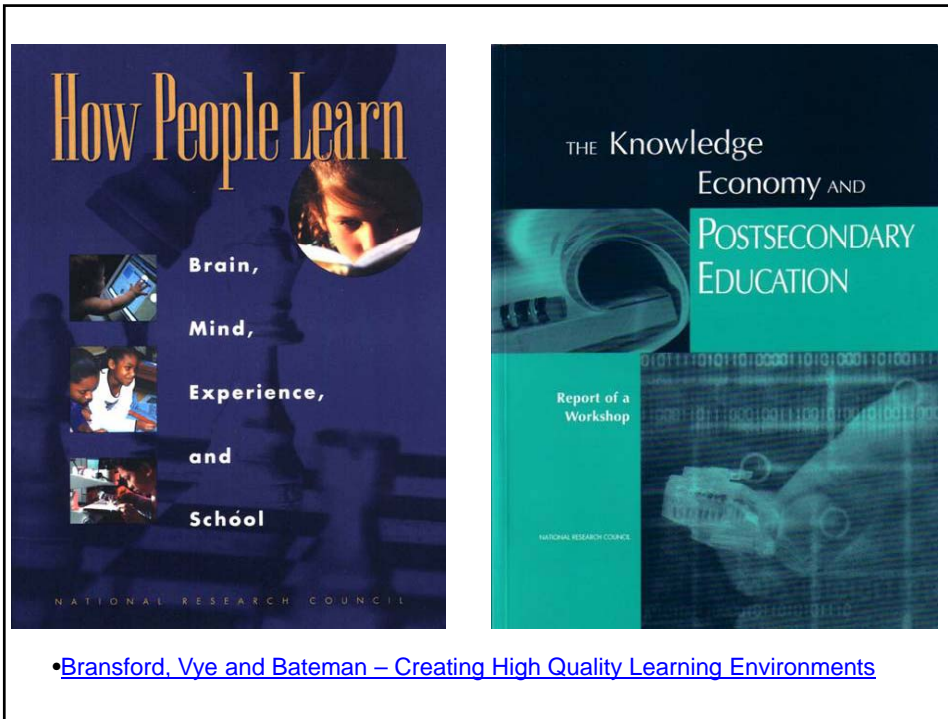
Science of Instruction (UbD)

No

Yes

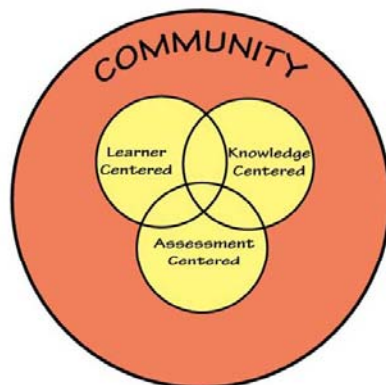
Good Theory/ Poor Practice	Good Theory & Good Practice
	Good Practice/ Poor Theory

Sources: Bransford, Brown & Cocking. 1999. *How people learn*. National Academy Press.
Wiggins, G. & McTighe, J. 2005. *Understanding by design, 2ed*. ASCD.



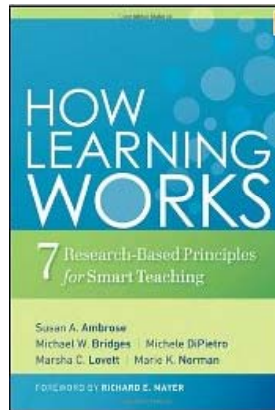
How People Learn (HPL)

HPL Framework



- Expertise Implies (Ch. 2):
 - a set of cognitive and metacognitive skills
 - an organized body of knowledge that is deep and contextualized
 - an ability to notice patterns of information in a new situation
 - flexibility in retrieving and applying that knowledge to a new problem

Bransford, Brown & Cocking. 1999. *How³⁰ people learn*. National Academy Press.

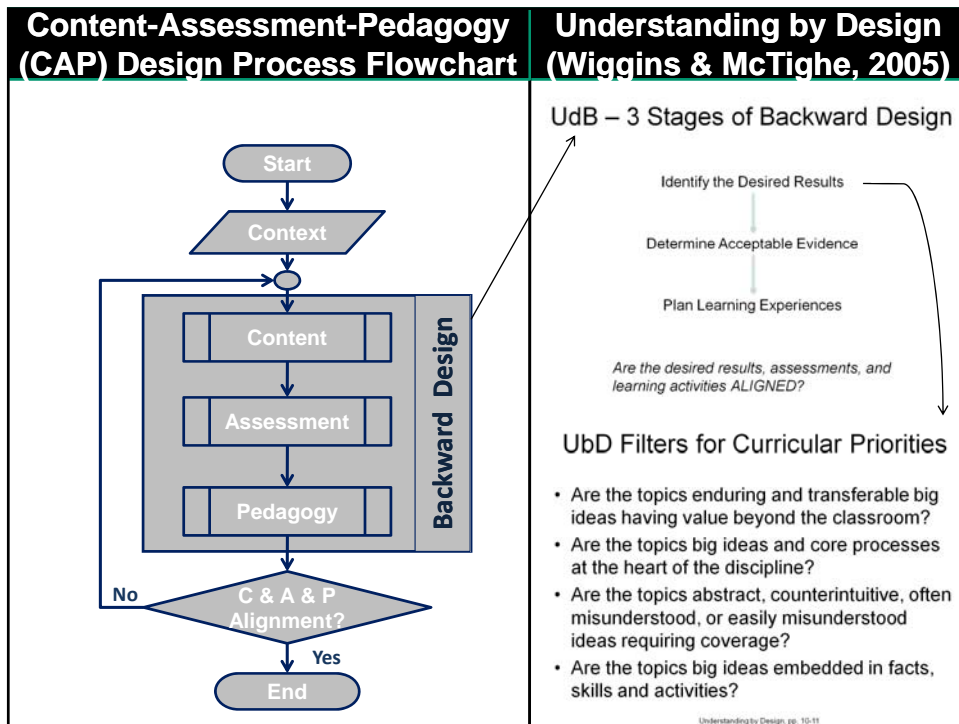


1. Students prior knowledge can help or hinder learning
2. How student organize knowledge influences how they learn and apply what they know
3. Students' motivation determines, directs, and sustains what they do to learn
4. To develop mastery, students must acquire component skills, practice integrating them, and know when to apply what they have learned
5. Goal –directed practice coupled with targeted feedback enhances the quality of students' learning
6. Students' current level of development interacts with the social, emotional, and intellectual climate of the course to impact learning
7. To become self-directed learners, students must learn to monitor and adjust their approach to learning

Seven Principles for Good Practice in Undergraduate Education

- Good practice in undergraduate education:
 - Encourages student-faculty contact
 - Encourages cooperation among students
 - Encourages active learning
 - Gives prompt feedback
 - Emphasizes time on task
 - Communicates high expectations
 - Respects diverse talents and ways of learning

Chickering & Gamson, June, 1987
<http://learningcommons.evergreen.edu/pdf/fall1987.pdf>



Understanding by Design

Wiggins & McTighe (1997, 2005)

Stage 1. Identify Desired Results

- Enduring understanding
- Important to know and do
- Worth being familiar with

Stage 2. Determine Acceptable Evidence

Stage 3. Plan Learning Experiences and Instruction

Overall: *Are the desired results, assessments, and learning activities ALIGNED?*

From: Wiggins, Grant and McTighe, Jay. 1997. *Understanding by Design*. Alexandria, VA: ASCD

UbD Filters for Curricular Priorities

- Are the topics enduring and transferable big ideas having value beyond the classroom?
- Are the topics big ideas and core processes at the heart of the discipline?
- Are the topics abstract, counterintuitive, often misunderstood, or easily misunderstood ideas requiring uncoverage?
- Are the topics big ideas embedded in facts, skills and activities?

Understanding by Design, pp. 10-11



and Think

Revisit your engineering education innovation. Is your innovation based on HPL framework or other Learning Theory? How does your approach compare with the Understanding by Design (backward design) process?

Feedback and Assessment

- Forward Looking Assessment
 - Questions that incorporate course concepts in a real-life context
- Criteria and Standards
 - What traits or characteristics are indicative of high quality work?
- Self-Assessment
 - Allow students to gauge their own learning.
- FIDeLity Feedback
 - **F**requent, **I**mmEDIATE, **D**iscriminating, **L**ovingly delivered

Taxonomies of Types of Learning

Bloom's taxonomy of educational objectives: Cognitive Domain
(Bloom & Krathwohl, 1956)

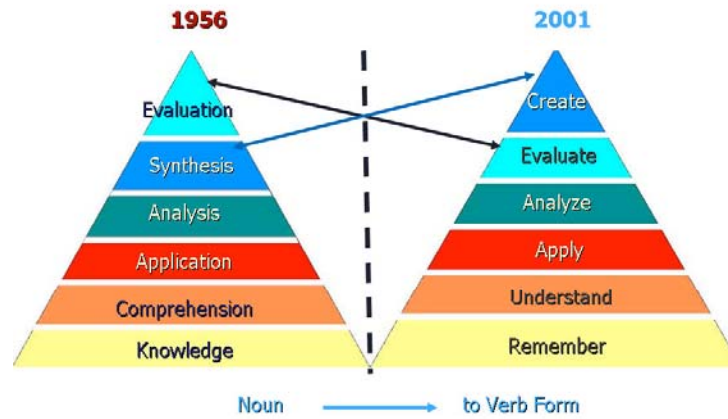
A taxonomy for learning, teaching, and assessing: A revision of Bloom's taxonomy of educational objectives (Anderson & Krathwohl, 2001).

Facets of understanding (Wiggins & McTighe, 1998)

Taxonomy of significant learning (Fink, 2003)

Evaluating the quality of learning: The SOLO taxonomy (Biggs & Collis, 1982)

Changes to Bloom's



<http://www.uwsp.edu/education/wilson/curric/newtaxonomy.htm>

— The Cognitive Process Dimension —→

	Remember	Understand	Apply	Analyze	Evaluate	Create
Factual Knowledge – The basic elements that students must know to be acquainted with a discipline or solve problems in it. a. Knowledge of terminology b. Knowledge of specific details and elements						
Conceptual Knowledge – The interrelationships among the basic elements within a larger structure that enable them to function together. a. Knowledge of classifications and categories b. Knowledge of principles and generalizations c. Knowledge of theories, models, and structures						
Procedural Knowledge – How to do something; methods of inquiry, and criteria for using skills, algorithms, techniques, and methods. a. Knowledge of subject-specific skills and algorithms b. Knowledge of subject-specific techniques and methods c. Knowledge of criteria for determining when to use appropriate procedures						
Metacognitive Knowledge – Knowledge of cognition in general as well as awareness and knowledge of one's own cognition. a. Strategic knowledge b. Knowledge about cognitive tasks, including appropriate contextual and conditional knowledge c. Self-knowledge		40				

— The Knowledge Dimension —↓

Reflection and Dialogue

- Individually reflect on your Engineering Education Innovation. Write for about 1 minute
 - Are the student learning outcomes clearly articulated?
 - Are they BIG ideas at the heart of the discipline?
 - Are the assessments aligned with the outcomes?
 - Is the pedagogy aligned with the outcomes & assessment?
- Discuss with your neighbor for about 3 minutes
 - Select Design Example, Comment, Insight, etc. that you would like to present to the whole group if you are randomly selected

The biggest and most long-lasting reforms of undergraduate education will come when individual faculty or small groups of instructors adopt the view of themselves as reformers within their immediate sphere of influence, the classes they teach every day.

K. Patricia Cross

Resources

- Learning
 - Mayer, R. E. (2010). *Applying the science of learning*. Upper Saddle River, NJ: Pearson.
 - Smith, K.A. (2010) Social nature of learning: From small groups to learning communities. *New Directions for Teaching and Learning*, 2010, 123, 11-22 [[NDTL-123-2-Smith-Social_Basis_of_Learning-.pdf](#)]
 - Smith, K.A., Sheppard, S.D., Johnson, D.W. & Johnson, R.T. (2005) Pedagogies of Engagement: Classroom Based Practices [[Smith-Pedagogies_of_Engagement.pdf](#)]
 - Smith, K.A., Johnson, D.W. & Johnson, R.T. (1998) Cooperative learning returns to college: What evidence is there that it works? *Change*, 30 (4), 26-35. [[CLReturnstoCollege.pdf](#)]
- Design Framework – How People Learn (HPL) & Understanding by Design Process
 - Bransford, John, Vye, Nancy, and Bateman, Helen. 2002. Creating High-Quality Learning Environments: Guidelines from Research on How People Learn. *The Knowledge Economy and Postsecondary Education: Report of a Workshop*. National Research Council. Committee on the Impact of the Changing Economy of the Education System. P.A. Graham and N.G. Stacey (Eds.). Center for Education. Washington, DC: National Academy Press.
<http://www.nap.edu/openbook/0309082927/html/>
 - Pellegrino, James W. 2006. Rethinking and redesigning curriculum, instruction and assessment: What contemporary research and theory suggests. Paper commissioned by the National Center on Education and the Economy for the New Commission on the Skills of the American Workforce.
<http://www.skillscommission.org/commissioned.htm>
 - Smith, K. A., Douglas, T. C., & Cox, M. 2009. Supportive teaching and learning strategies in STEM education. In R. Baldwin, (Ed.). *Improving the climate for undergraduate teaching in STEM fields. New Directions for Teaching and Learning*, 117, 19-32. San Francisco: Jossey-Bass.
 - Wiggins, G. & McTighe, J. 2005. *Understanding by Design: Expanded Second Edition*. Prentice Hall.
- Other Resources
 - University of Delaware PBL web site – www.udel.edu/pbl
 - PKAL – Pedagogies of Engagement – <http://www.pkal.org/activities/PedagogiesOfEngagementSummit.cfm>
 - Fairweather (2008) Linking Evidence and Promising Practices in Science, Technology, Engineering, and Mathematics (STEM) Undergraduate Education -
http://www7.nationalacademies.org/bose/Fairweather_CommissionedPaper.pdf

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Acknowledgements

- Thanks to the National Science Foundation for funding the development of the Collaboratory for Engineering Education Research through *Expanding and sustaining research capacity in engineering and technology education: Building on successful programs for faculty and graduate students* (NSF DUE-0817461).
- Special thanks to Cori Fata-Hartley and the 14th Annual Science, Technology, Engineering, and Mathematics Education Scholars (STEMES) Program – <http://fod.msu.edu/springinstitute/stemes/about.asp> for sharing slides.
- Symposium materials are posted on
 - <http://www.ce.umn.edu/~smith/links.html>
 - CLEERhub.org

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